

**SIEMENS Corporation – IP Dept.**

170 Wood Avenue South  
Iselin, New Jersey 08830

**PATENT APPLICATION**

ATTORNEY DOCKET NO.: **2003P08062US**

Express Mail No.: **EV335970635US**  
Date of Deposit: Sept. 29, 2003

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): **HETTISH**  
Serial No.: Unassigned  
Filing Date: Herewith  
Title: **METHOD AND SYSTEM FOR PROVIDING INFORMATION REGARDING AN IDENTITY'S TRUE AVAILABILITY**

COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97 (b), or  
(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97 (c) together with either a:  
☐ Certification under 37 CFR 1.97 (e), or  
☐ A \$240.00 fee under 37 CFR 1.17 (p) authorized to be charged to Deposit Account **19-2179**. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **19-2179** pursuant to 37 CFR 1.25.  
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d) together with a:  
☐ Certification under 37 CFR 1.97 (e), and  
☐ a petition under 37 CFR 1.97 (d) (2) (ii), required with fee under 37 CFR 1.17(i)(1)  
(Filed after final action or notice of allowance, whichever occurs first, but on or before payment of the issue fee)
- ☐ under 37 CFR 1.97 (i)  
☐ Filed after payment of issue fee, but before grant of patent - No fee or certification required

Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content that is given on the attached sheet or by the enclosed English-language search report.

☐ The undersigned hereby certifies under 37 CFR 1.97(e)(1) that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or

☐ The undersigned certifies under 37 CFR 1.97(e)(2) that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 1.56(c) more than three months prior to the filing of the information disclosure statement.

[X] Applicant does not believe any fee is due. However, at any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 19-2179 pursuant to 37 CFR 1.25. A duplicate copy of this sheet is enclosed.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made or that no other material information exists. Further, the filing of this information disclosure statement shall not be construed as an admission against interest in any manner or as an admission that the information cited is, or is considered to be material to patentability.

It is requested that the information disclosed herein be made of record in this application.

Respectfully Submitted,



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Rosa S. Kim  
Attorney for Applicant(s)  
Reg. No.: 39,728  
Date: 9-29-03  
Telephone No.: (650) 694-5330

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet	1	of	2
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Applicati n Numb r	Not yet assigned
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<b>Filing Date</b>	<b>Herewith</b>
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First Name of Inventor	Hettish
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Group Art Unit	Not yet assigned
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Examiner Name	Not yet assigned
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Attorney Docket Number	2003P08062US
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Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	A	5,544,321		Theimer et al.	08/06/1996	
	B	5,812,865		Theimer et al.	09/22/1998	
	C	6,301,609	B1	Aravamudan et al.	10/09/2001	
	D	6,310,632	B1	Monroe et al.	10/30/2001	
	E	6,366,962	B1	Teibel	04/02/2002	
	F	6,463,471	B1	Dreke et al.	10/08/2002	
	G	6,539,421	B1	Appleman et al.	03/25/2003	
	H	6,549,937	B1	Auerbach et al.	04/15/2003	
	I	6,563,340	B1	Kemink et al.	05/13/2003	
	J	2001/0042126	A1	Wong et al.	11/15/2001	
	K	2002/0053214	A1	Klemoder et al.	12/20/2001	
	L	2002/0116336	A1	Diacakis et al.	08/22/2002	
	M	2002/0116461	A1	Diacakis et al.	08/22/2002	
	N	2002/0120687	A1	Diacakis et al.	08/29/2002	
	O	2002/0187781	A1	Furlong	12/12/2002	
	P	2003/0014488	A1	Dalal et al.	01/16/2003	
	Q	2003/0028621	A1	Furlong et al.	02/06/2003	

[illegible]Date  
Considered

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	Not yet assigned
				Filing Date	Herewith
				First Named Inventor	Hettish
				Group Art Unit	Not yet assigned
				Examiner Name	Not yet assigned
Sheet	2	of	2	Attorney Docket Number	2003P08062US

OTHER - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	R	"AOL Instant Messenger – Help/FAQs: Using AIM", © 2002 America Online, Inc., 5pgs., download from <a href="http://www.aim.aol.com/help_faq/using/buddylist.adp?aolperm=">http://www.aim.aol.com/help_faq/using/buddylist.adp?aolperm=</a> on 8/12/2003.	
	S	Morrissey, Peter "It's Time To Take a Look at SIP", April 24, 2003, download from <a href="http://www.hostingtech.com/news/2003/4/24/Print/St_Nitf_Its_Time_To_Take_a_Look_At_...">http://www.hostingtech.com/news/2003/4/24/Print/St_Nitf_Its_Time_To_Take_a_Look_At_...</a> on 7/1/2003. © 2003 CMP Media LLC, 3pgs.	
	T	Jsfgen Bjsfkner "SIP: The Key to Unified Communications", download from <a href="http://www.tdap.co.uk/us/archieve/internet/int(sip_0109).html">http://www.tdap.co.uk/us/archieve/internet/int(sip_0109).html</a> on 07/01/2003, © International Clearing House Ltd 1997-2001. 3pgs.	
	U	McElligott, Tim "Your presence is requested", Telephony, Feb 5, 2001, download from <a href="http://telephonyonline.com/ar/telecom_presence_requested/">http://telephonyonline.com/ar/telecom_presence_requested/</a> on 6/18/2003, © 2003 Primedia Business Magazines & Media Inc. 5pgs.	
	V	Saunders, Christopher "Presence and Availability: Coming to a Mobile Phone Near You", April 22, 2003, download from <a href="http://itmanagement.earthweb.com/entdev/article.php/2194831">http://itmanagement.earthweb.com/entdev/article.php/2194831</a> on 06/18/2003, Copyright 2003 Jupitermedia Corporation, 7pgs.	
	W	Roychowdhury, Arjun and Moyer, Stan "Instant Measaging and Presence for SIP Enabled Networked Appliances", 7pgs.	
	X	"SIP (Session Initiation Protocol) in Enterprise – Class IP Telephony Networks", White Paper, Communication without boundaries, © 2002 Avaya Inc. 12pgs.	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.